

Spectral Resolution of Square of a λ -jection of Fourth Order

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ABSTRACT

In this paper I define a λ - jection of fourth order and obtain spectral resolution of square of such an operator.

Keywords – λ -jection of third order, λ -jection of fourth order, projection spectrum.

I. INTRODUCTION

Dr. P. Chandra introduced the concept of trijection in his Ph.D thesis titled “Investigation into the theory of operators and linear spaces”. [1] An operator E is called a projection if $E^2 = E$ as given in Dunford and Schwarz [2], p.37 or Rudin [3], p.126. E is trijection operator if $E^3 = E$. I had defined E to be a λ -jection of third order [5] if

$$E^3 + \lambda E^2 = (1 + \lambda)E, \lambda \text{ being a scalar}$$

To further extend this idea, I define E to be a λ - jection of fourth order if

$$E^4 + \lambda E^3 = (1 + \lambda)E^2, \lambda \text{ being a scalar}$$

1. Definition

Let H be a Hilbert space and E an operator on H . Let $\lambda_1, \lambda_2, \dots, \lambda_m$ be eigen values of E and M_1, M_2, \dots, M_m be their corresponding eigen spaces. Let P_1, P_2, \dots, P_m be the projection on

these eigen spaces, Then according to definition of spectral theorem in Simmons [4],p. 279-290 , the following statements are all equivalent to one-another,

- 1) The M^i 's are pairwise orthogonal and span H.
- 2) The P^i 's are pairwise orthogonal, $I = \sum_{i=1}^m P_i$ and $E = \sum_{i=1}^m \lambda_i P_i$
- 3) E is normal

Then the set of eigen values of E is called its spectrum ,denoted by $\sigma(E)$. Also if $E = \lambda_1 P_1 + \lambda_2 P_2 + \dots + \lambda_m P_m$

Then expression for E given above is called the spectral resolution of E.

II. MAIN RESULT

Theorem 1

Let E be a λ jection of 4th order. Then E^2 can be expressed as a linear combination of three pairwise orthogonal projections.

(where $\lambda \neq 0, -1$ or -2)

Proof:

First, we examine when $aE^3 + bE^2$ is a projection, a,b being scalars, i.e.-

$$(aE^3 + bE^2)^2 = aE^3 + bE^2$$

$$\Rightarrow a^2E^6 + b^2E^4 + 2abE^5 = aE^3 + bE^2 \quad \text{----- (1)}$$

So we need to find E^5 and E^6 in terms of E^2 and E^3 .

We have

$$E^4 + \lambda E^3 = (1 + \lambda)E^2$$

Let $\mu = \lambda + 1$, then $\lambda = \mu - 1$

$$\text{Then } E^4 + (\mu - 1)E^3 = \mu E^2$$

Also since $\lambda \neq 0, -1$ or -2

$$\mu \neq 1, 0 \text{ or } -1$$

$$\text{Now } E^4 - E^3 = \mu(E^2 - E^3)$$

$$\text{Thus } E^4 = \mu E^2 + (1 - \mu)E^3 \quad \text{----- (2)}$$

Applying E to both sides,

$$E^5 = \mu E^3 + (1 - \mu)E^4 = \mu E^3 + (1 - \mu)[\mu E^2 + (1 - \mu)E^3]$$

$$= (\mu - \mu^2)E^2 + (\mu + (1 - \mu)^2)E^3$$

$$= (\mu - \mu^2)E^2 + (1 - \mu + \mu^2)E^3 \quad \text{----- (3)}$$

Applying E to both sides

$$E^6 = (\mu - \mu^2)E^4 + (1 - \mu + \mu^2)E^5$$

$$\begin{aligned}
 &= (\mu - \mu^2)E^3 + (1 - \mu + \mu^2)[\mu E^2 + (1 - \mu)E^3] \\
 &= (\mu - \mu^2 + \mu^3)E^2 + [(\mu - \mu^2) + (1 - \mu + \mu^2)(1 - \mu)]E^3 \\
 &= (\mu - \mu^2 + \mu^3)E^2 + (1 - \mu + \mu^2 - \mu^3)E^3 \text{ ----- (4)}
 \end{aligned}$$

In relation (1), we put values of E^4, E^5, E^6 from equations (2), (3) and (4), and get

$$\begin{aligned}
 &a^2[(\mu - \mu^2 + \mu^3)E^2 + (1 - \mu + \mu^2 - \mu^3)E^3] + b^2[\mu E^2 + (1 - \mu)E^3] \\
 &+ 2ab[(\mu - \mu^2)E^2 + (1 - \mu + \mu^2)E^3] = aE^3 + bE^2
 \end{aligned}$$

Equating co-efficients of E^2 on both sides,

$$a^2(\mu - \mu^2 + \mu^3) + b^2\mu + 2ab(\mu - \mu^2) = b \text{ ----- (5)}$$

Equating co-efficients of E^3 on both sides,

$$a^2(1 - \mu + \mu^2 - \mu^3) + b^2(1 - \mu) + 2ab(1 - \mu + \mu^2) = a \text{ ----- (6)}$$

Adding (5) and (6),

$$a^2 + b^2 + 2ab = a + b$$

$$\Rightarrow (a + b)^2 = (a + b)$$

$$\Rightarrow a + b = 0 \text{ or } 1$$

Let $a + b = 0$, then $b = -a$

Then from (5),

$$a^2(\mu - \mu^2 + \mu^3) + a^2\mu - 2a^2(\mu - \mu^2) = -a$$

Let $a \neq 0$, then

$$a[\mu - \mu^2 + \mu^3 + \mu - 2\mu + 2\mu^2] = -1$$

$$\Rightarrow a(\mu^2 + \mu^3) = -1 \Rightarrow a = \frac{-1}{\mu^2 + \mu^3} = \frac{-1}{\mu^2(1 + \mu)} \quad (\mu \neq 0, -1)$$

$$b = -a = \frac{-1}{\mu^2 + \mu^3}$$

$$\text{Hence } aE^3 + bE^2 = \frac{E^2 - E^3}{\mu^2 + \mu^3} = \frac{E^2 - E^3}{\mu^2(1 + \mu)}$$

Next let $a + b = 1$, Then $b = 1 - a$

Due to (5),

$$a^2(\mu - \mu^2 + \mu^3) + (1 - a^2)\mu + 2a(1 - a)(\mu - \mu^2) = 1 - a$$

$$\Rightarrow \mu\{a^2 + (1 - a)^2 + 2a(1 - a)\} - \mu^2(a^2 + 2a(1 - a) + a^2\mu^3) = 1 - a$$

$$\Rightarrow \mu\{a + (1 - a)\}^2 - \mu^2(2a - a^2) + a^2\mu^3 = 1 - a$$

$$\Rightarrow \mu - 2a\mu^2 + a^2\mu^2 + a^2\mu^3 = 1 - a$$

$$\Rightarrow a^2(\mu^2 + \mu^3) - (2\mu^2 - 1)a + \mu - 1 = 0$$

$$\text{Hence } a = \frac{(2\mu^2 - 1) \pm \sqrt{(2\mu^2 - 1)^2 - 4(\mu^2 + \mu^3)(\mu - 1)}}{2(\mu^2 + \mu^3)} = \frac{2\mu^2 - 1 \pm 1}{2(\mu^2 + \mu^3)}$$

$$= \frac{2\mu^2}{2(\mu^2 + \mu^3)} \text{ or } \frac{2\mu^2 - 2}{2(\mu^2 + \mu^3)}$$

$$\text{i.e. } a = \frac{1}{1+\mu} \text{ or } \frac{\mu-1}{\mu^2}$$

$$\text{When } a = \frac{1}{1+\mu} \text{ then } b = \frac{\mu}{1+\mu}$$

$$\text{So, } aE^3 + bE^2 = \frac{E^3}{1+\mu} + \frac{\mu E^2}{1+\mu} = \frac{E^3 + \mu E^2}{1+\mu}$$

$$\text{When } a = \frac{\mu-1}{\mu^2} \text{ then } b = \frac{\mu^2 - \mu + 1}{\mu^2}$$

$$\text{Then } aE^3 + bE^2 = \frac{(\mu-1)E^3 + (\mu^2 - \mu + 1)E^2}{\mu^2}$$

So we have 3 projections which we name as

$$P_1 = \frac{E^3 + \mu E^2}{1+\mu}, P_2 = \frac{E^2 - E^3}{\mu^2(\mu+1)}$$

$$\text{and } Q_3 = \frac{(\mu-1)E^3 + (\mu^2 - \mu + 1)E^2}{\mu^2}$$

We also mark that

$$\begin{aligned} P_1 + P_2 &= \frac{E^3 + \mu E^2}{1+\mu} + \frac{E^2 - E^3}{\mu^2(\mu+1)} = \frac{\mu^2(E^3 + \mu E^2) + E^2 - E^3}{\mu^2(\mu+1)} \\ &= \frac{(\mu^2 - 1)E^3 + (\mu^3 + 1)E^2}{\mu^2(\mu+1)} = \frac{(\mu-1)E^3 + (1 - \mu + \mu^2)E^2}{\mu^2} = Q_3 \end{aligned}$$

Let $P_3 = I - Q_3$ which is also a projection.

Then we see that

$$P_1 P_2 = \frac{E^3 + \mu E^2}{1+\mu} * \frac{E^2 - E^3}{\mu^2(\mu+1)} = \frac{(E^3 + \mu E^2)(E^2 - E^3)}{\mu^2(\mu+1)}$$

$$\text{Now, numerator} = E^3(E^2 - E^3) + \mu E^2(E^2 - E^3)$$

$$= E^5 - E^6 + \mu E^4 - \mu E^5 = (1 - \mu)E^5 - E^6 + \mu E^4$$

$$= (1 - \mu)[\mu E^3 + (1 - \mu)E^4] - (\mu - \mu^2)E^3 - (1 - \mu + \mu^2)E^4 + \mu E^4$$

$$= (\mu - \mu^2)E^3 + (1 - \mu)^2 E^4 - (\mu - \mu^2)E^3 - (1 - \mu + \mu^2)E^4 + \mu E^4$$

$$= E^4[(1 - \mu)^2 - 1 + \mu - \mu^2 + \mu] = 0$$

Thus $P_1 P_2 = 0$, i.e. $P_1 P_2$ are orthogonal

$$P_1 P_3 = P_1[I - Q_3] = P_1(I - P_1 - P_2) = P_1 - P_1^2 = 0$$

$$P_2 P_3 = P_2[I - Q_3] = P_2(I - P_1 - P_2) = P_2 - P_2^2 = 0$$

Thus P_1, P_2, P_3 are pairwise orthogonal

Also

$$P_1 + \mu^2 P_2 = \frac{E^3 + \mu E^2}{1 + \mu} + \frac{E^2 - E^3}{1 + \mu} = \frac{(\mu + 1)E^2}{1 + \mu} = E^2$$

Also $P_1 + P_2 + P_3 = P_1 + P_2 + I - Q_3 = P_1 + P_2 + I - (P_1 + P_2) = I$

So $E^2 = P_1 + \mu^2 P_2 = P_1 + \mu^2 P_2 + 0 \cdot P_3 = \lambda_1 P_1 + \lambda_2 P_2 + \lambda_3 P_3$

where $\lambda_1 = 1, \lambda_2 = \mu^2, \lambda_3 = 0$

Thus E^2 is a linear combination of three pairwise orthogonal projections.

Theorem 2

Let R_{P_1} be the range of P_1 . Then

$$R_{P_1} = \{z: P_1 z = z\} = \{z: E^2 z = z\} = M_1 \text{ (say)}$$

Proof:-

Let $z \in R_{P_1}$, then since P_1 is a projection, $P_1 z = z$

$$\begin{aligned} \text{Now } E^2 P_1 &= \frac{E^2(E^3 + \mu E^2)}{1 + \mu} = \frac{E^5 + \mu E^4}{1 + \mu} = \frac{E^4 + \mu E^3}{1 + \mu} \\ &= \frac{\mu E^2 + (1 - \mu)E^3 + \mu E^3}{1 + \mu} = \frac{\mu E^2 + E^3}{1 + \mu} = P_1 \end{aligned}$$

Hence $E^2 z = E^2 P_1 z = P_1 z = z$ i. e. $z \in M_1$

Therefore $R_{P_1} \subseteq M_1$ ----- (7)

Conversely, let $z \in M_1$, i. e. $E^2 z = z$

Then $E^3 z = E(E^2 z) = E z$

$\Rightarrow E^4 z = E^2 z = z$

Now $E^4 z = \mu E^2 z + (1 - \mu)E^3 z$

$\Rightarrow z = \mu z + (1 - \mu)E^3 z$

$\Rightarrow (1 - \mu)E^3 z = (1 - \mu)z$

$\Rightarrow E^3 z = z$ since $(1 - \mu) \neq 0$

Hence $P_1 z = \frac{E^3 z + \mu E^2 z}{1 + \mu} = \frac{z + \mu z}{1 + \mu} = z$

or $z \in R_{P_1}$

Thus $M_1 \subseteq R_{P_1}$ ----- (8)

Hence from (7) and (8),

$R_{P_1} = M_1$

Theorem 3

We show that

$$R_{P_2} = \{z: E^2z = \mu^2z\} = M_2(\text{say})$$

Proof:-

Let $z \in R_{P_2}$ then $P_2z = z$

$$\begin{aligned} \text{Also, } E^2P_2 &= \frac{E^2(E^2-E^3)}{\mu^2(\mu+1)} = \frac{E^4-E^5}{\mu^2(\mu+1)} = \frac{\mu E^2+(1-\mu)E^3-E^5}{\mu^2(\mu+1)} \\ &= \frac{\mu E^2 + (1-\mu)E^3 - \{(\mu - \mu^2)E^2 + (1 - \mu + \mu^2)E^3\}}{\mu^2(\mu + 1)} \text{ using (2) and (3)} \\ &= \frac{\mu^2 E^2 - \mu^2 E^3}{\mu^2(\mu + 1)} = \frac{(E^2 - E^3)\mu^2}{\mu^2(\mu + 1)} = \mu^2 P_2 \end{aligned}$$

$$\text{So } E^2P_2z = \mu^2P_2z$$

$$\Rightarrow E^2z = \mu^2z$$

Thus $z \in M_2$

$$\text{Hence } R_{P_2} \subseteq M_2 \text{ ----- (9)}$$

$$\text{Let } z \in M_2 \text{ then } E^2z = \mu^2z \Rightarrow E^4z = E^2(\mu^2z) = \mu^4z$$

$$\text{Hence } E^4z = \mu E^2z + (1 - \mu)E^3z$$

$$\Rightarrow \mu^4z = \mu \cdot \mu^2z + (1 - \mu)E^3z$$

$$\Rightarrow \mu^3(\mu - 1)z = (1 - \mu)E^3z$$

$$\Rightarrow E^3z = -\mu^3z$$

$$\text{Hence } P_2z = \frac{(E^2-E^3)z}{\mu^2(\mu+1)} = \frac{\mu^2z+\mu^3z}{\mu^3+\mu^2} = z$$

Thus $z \in R_{P_2}$

$$\text{So, } M_2 \subseteq R_{P_2} \text{ ----- (10)}$$

Due to (9) and (10)

$$R_{P_2} = M_2$$

Theorem 4

We show that

$$R_{P_3} = \{z: E^2z = 0\} = M_3(\text{say})$$

Proof:-

$$\text{Now } E^2P_3 = E^2(I - Q_3) = E^2(I - P_1 - P_2)$$

$$= E^2 - E^2P_1 - E^2P_2 = E^2 - P_1 - \mu^2P_2$$

$$= E^2 - (P_1 + \mu^2 P_2) = E^2 - E^2 = 0 \text{ (due to theorem 1)}$$

Let $z \in R_{P_3}$ then $P_3 z = z$

$$\text{Hence } E^2 z = E^2 P_3 z = (E^2 P_3) z = 0 z = 0$$

So $z \in M_3$.

$$\text{Hence } R_{P_3} \subseteq M_3 \dots\dots\dots (11)$$

Conversely, let $z \in M_3$, then $E^2 z = 0 \Rightarrow E^3 z = 0$.

$$\text{Hence } P_3 z = [I - P_1 - P_2] z$$

$$\text{Now, } P_1 z = \frac{(E^3 + \mu E^2)}{(\mu + 1)} z = \frac{0}{\mu + 1} = 0$$

$$P_2 z = \frac{(E^2 - E^3) z}{\mu^2 (\mu + 1)} = \frac{0}{\mu^2 (\mu + 1)} = 0$$

$$\text{So, } P_3 z = z - P_1 z - P_2 z = z$$

Thus $z \in R_{P_3}$

$$\text{Hence, } M_3 \subseteq R_{P_3} \dots\dots\dots (12)$$

Due to (11) and (12),

$$R_{P_3} = M_3$$

Theorem 5

Let E be a λ -jection of fourth order (when $\lambda \neq 0, -1$ or -2) on a Hilbert space H . Then spectral resolution of E^2 is given by $E^2 = \lambda_1 P_1 + \lambda_2 P_2 + \lambda_3 P_3$ where $\lambda_1 = 1, \lambda_2 = \mu^2$ and $\lambda_3 = 0$. Also P_1, P_2, P_3 are pairwise orthogonal projections such that $P_1 + P_2 + P_3 = I$. Also spectrum of E^2 is given by

$$\sigma(E^2) = \{1, \mu^2, 0\}.$$

Proof:-

Due to theorem 1,

$$E^2 = \lambda_1 P_1 + \lambda_2 P_2 + \lambda_3 P_3$$

Where $\lambda_1 = 1, \lambda_2 = \mu^2$ and $\lambda_3 = 0$.

P_1, P_2, P_3 are pairwise orthogonal projections

Such that $P_1 + P_2 + P_3 = I$.

Due to theorems (2), (3) and (4), λ_1, λ_2 and λ_3 are eigen values of E^2 and M_1, M_2, M_3 are their corresponding eigen spaces. Hence,

$$E^2 = \lambda_1 P_1 + \lambda_2 P_2 + \lambda_3 P_3$$

Gives spectral resolution of E^2 .

Since eigen values of E^2 are 1, μ^2 and 0,

$$\sigma(E^2) = \{1, \mu^2, 0\}$$

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