# Study of Scattering Phase Matrix of Spherical Particles using Lorenz-Mie Theory 

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#### Abstract

In this paper we present a calculation of the angular distribution of light for the spherical particles. Scattered light patterns produced by spherical transparent particles of a wide range of diameters and for a useful range of forward scattering angles $\left(0-360^{\circ}\right)$ are calculated by using Lorenz-Mie theory. A detailed comparison of the results leads to a definitive assessment of the accuracy of Lorenz-Mie theory in the forward direction.


Keywords : The Lorenz-Mie Theory, Scattering Of Light, Phase Matrix Elements, Refracting Index

## I. INTRODUCTION

The polarization of a plane wave from spherical particles is an old object of research, but it is still maturing as new applications demand more detailed understanding. Its rigorous mathematical solution was obtained as the well-known infinite series of partial waves, generally known as the Mie solution. There is now considerable amount of interest in the polarization of radiation reflected from particles of small size in atmosphere. The four Stokes parameters are the key to understand the effect of particles in atmosphere. A good description of Mie theory is provided by Liou K. N [1] and the notation in his book is thus adopted. To compute parameters obtained by using Mie Theory programming language FORTRAN has been used. This code provides accurate results for small and large particles with size parameters.

In this paper, we present a theoretical foundation for determining experimentally the four-by-four scattering phase matrix for spherical particles. Moreover, the corresponding relationship of the approximate period of phase functions and the relative refractive index ( $m$ ) is studied.

## II. Theoretical Background

### 2.1 Polarization

Light, as any other electromagnetic wave, nearly always propagates as a transverse wave, with both electric and magnetic fields oscillating perpendicularly to the direction of propagation. The direction of the electric field is called the polarization of the wave. In a linearly polarized plane wave, the electric field remains in the same direction as the wave propagates. Natural light as a mixture of waves with different polarizations is also called unpolarized light or, more precisely, randomly polarized light. According to the Poynting theorem, the energy flow (intensity of the light or illuminance, I) associated with plane electromagnetic waves is proportional to the square of the amplitude of the electric field. When light interacts with matter, its behaviour is modified, mainly its intensity and its velocity. Moreover, some materials are able to modify light differently in each spatial direction. Linear polarizers for example can convert unpolarized light into linearly polarized light. An ideal polarizer fully attenuates light polarized in one direction, and fully transmits light with the orthogonal polarization [2]. Consider a beam of linearly polarized light incident upon a linear
polarizer. The amplitude of the electric field before the polarizer is E 0 and its intensity is $\mathrm{I} 0{ }^{\sim} \mathrm{E}_{0}^{2}$. Let $\theta$ be the angle between the axis of the polarizer and the polarization of the incident light. The electric field that passes through the polarizer is the component in the direction of the axis, $\mathrm{E}=\mathrm{E} 0 \cos \theta$. Therefore, the intensity of the light passing the polarizer is $\mathrm{I}=\mathrm{I} 0 \cos 2 \theta$
This is the so-called Malus law, named after the French physicist Louis Malus, who discovered optical polarization [3].

### 2.2 Scattering Phase Matrix:

To specify the polarization configuration of a radiation beam, the Stokes parameters I, Q, U, V are required. The Stokes parameters are defined as follows
$I=E_{\|} E_{\|}^{*}+E_{\perp} E_{\perp}^{*}$,
$Q=E_{\|} E_{\|}^{*}-E_{\perp} E_{\perp}^{*}$,
$U=E_{\|} E_{\perp}^{*}+E_{\perp} E_{\|}^{*}$,

$$
\begin{equation*}
V=-i\left(E_{\|} E_{\perp}^{*}-E_{\perp} E_{\|}^{*}\right) . \tag{1}
\end{equation*}
$$

where an asterisk denotes the complex conjugate value [4].
Letting the subscript 0 denote the incident components, we show that

$$
\left[\begin{array}{l}
I  \tag{2}\\
Q \\
U \\
V
\end{array}\right]=\frac{\mathbf{F}}{k^{2} r^{2}}\left[\begin{array}{l}
I_{0} \\
Q_{0} \\
U_{0} \\
V_{0}
\end{array}\right],
$$

where the matrix is F is called the transformation matrix of light scattering of single sphere. In general, F has all 16 components. But for the sphere, they are reduce to four components.

$$
\mathbf{F}=\left[\begin{array}{cccc}
\frac{\left(M_{2}+M_{1}\right)}{2} & \frac{\left(M_{2}-M_{1}\right)}{2} & 0 & 0  \tag{3}\\
\frac{\left(M_{2}-M_{1}\right)}{2} & \frac{\left(M_{2}+M_{1}\right)}{2} & 0 & 0 \\
0 & 0 & S_{21} & -D_{21} \\
0 & 0 & D_{21} & S_{21}
\end{array}\right]
$$

where its components are defined by

$$
\begin{gather*}
M_{1}=S_{1}(\theta) S_{1}^{*}(\theta), \\
M_{2}=S_{2}(\theta) S_{2}^{*}(\theta), \\
S_{21}=\left[S_{1}(\theta) S_{2}^{*}(\theta)+S_{2}(\theta) S_{1}^{*}(\theta)\right] / 2, \\
-D_{21}=\left[S_{1}(\theta) S_{2}^{*}(\theta)-S_{2}(\theta) S_{1}^{*}(\theta)\right] i / 2, \tag{4}
\end{gather*}
$$

Where, $\mathrm{s}_{1}(\theta)$ and $\mathrm{s}_{2}(\theta)$ are scattering functions [5]

$$
\left.\begin{array}{c}
s_{1}(\theta)=\sum_{n=1}^{\infty} \frac{2 n+1}{n(n+1)}\left[a_{n} \pi_{n}(\cos \theta)\right. \\
\left.\quad+b_{n} \tau_{n}(\cos \theta)\right]
\end{array}\right\} \begin{gathered}
\\
s_{2}(\theta)=\sum_{n=1}^{\infty} \frac{2 n+1}{n(n+1)}\left[b_{n} \pi_{n}(\cos \theta)\right. \\
\left.+a_{n} \tau_{n}(\cos \theta)\right], \tag{6}
\end{gathered}
$$

Where,

$$
\begin{aligned}
\pi_{n}(\cos \theta) & =\frac{1}{\sin \theta} P_{n}^{m}(\cos \theta), \\
\tau_{n}(\cos \theta) & =\frac{d}{d \theta} P_{n}^{m}(\cos \theta)
\end{aligned}
$$

Here, $\mathrm{P}_{\mathrm{n}}^{\mathrm{m}}(\cos \theta)$ is associated Legendre polynomial of n degree and $m$ order [6],

In eq.(5) and (6) $a_{n}$ and $b_{n}$ are known as Mie coefficients.

$$
\begin{align*}
& a_{n} \\
& =\frac{\psi_{n}^{\prime}(y) \psi_{n}(x)-m \psi_{n}(y) \psi_{n}^{\prime}(x)}{\psi_{n}^{\prime}(y) \xi_{n}(x)-m \psi_{n}(y) \xi_{n}^{\prime}(x)},  \tag{7}\\
& b_{n} \\
& =\frac{m \psi_{n}^{\prime}(y) \psi_{n}(x)-\psi_{n}(y) \psi_{n}^{\prime}(x)}{m \psi_{n}^{\prime}(y) \xi_{n}(x)-\psi_{n}(y) \xi_{n}^{\prime}(x)} . \tag{8}
\end{align*}
$$

By using transformation matrix we may define a parameter referred to as the scattering phase matrix such that

$$
\begin{equation*}
\frac{\mathbf{F}(\theta)}{k^{2} r^{2}}=C \mathbf{P}(\theta) \tag{7}
\end{equation*}
$$

The coefficient $C$ can be obtained from normalization of the matrix element in the form
$\int_{0}^{2 \pi} \int_{0}^{\pi} \frac{P_{11}(\theta)}{4 \pi} \sin \theta d \theta d \phi=1$.

From Eqs. (7) and (8), we obtain

$$
\begin{align*}
C=\frac{1}{2 k^{2} r^{2}} \int_{0}^{\pi} & \frac{1}{2}\left[M_{2}(\theta)+M_{1}(\theta)\right] \sin \theta d \theta \\
& =\frac{1}{4 k^{2} r^{2}} \int_{0}^{\pi}\left[i_{1}(\theta)\right. \\
& \left.+i_{2}(\theta)\right] \sin \theta d \theta \tag{9}
\end{align*}
$$

The coefficient $C$ is also given by following equation [7].

$$
\begin{equation*}
C=\sigma_{s} / 4 \pi r^{2} \tag{10}
\end{equation*}
$$

Where, $\sigma_{\mathrm{s}}$ is scattering cross section.

$$
\sigma_{s}=Q_{s} \pi^{2}
$$

Where, $\mathrm{Q}_{\mathrm{S}}$ is scattering efficiency.
$Q_{s}=\frac{2}{x^{2}} \sum_{n=1}^{\infty}(2 n+1)\left(\left|a_{n}\right|^{2}\right.$

$$
\begin{equation*}
\left.+\left|b_{n}\right|^{2}\right) \tag{11}
\end{equation*}
$$

So we get,
$\frac{P_{11}}{4 \pi}=\frac{1}{2 k^{2} \sigma_{s}}\left(i_{1}+i_{2}\right)$,
$\frac{P_{12}}{4 \pi}=\frac{1}{2 k^{2} \sigma_{s}}\left(i_{2}-i_{1}\right)$,
$\frac{P_{33}}{4 \pi}=\frac{1}{2 k^{2} \sigma_{s}}\left(i_{3}+i_{4}\right)$,
$\frac{P_{34}}{4 \pi}=-\frac{i}{2 k^{2} \sigma_{s}}\left(i_{4}+i_{3}\right)$,

Where

$$
\begin{aligned}
i_{j}=S_{j} S_{j}^{*}=\left|S_{j}\right|^{2}, & j & =1,2 \\
i_{3}=S_{2} S_{1}^{*}, & i_{4} & =S_{1} S_{2}^{*}
\end{aligned}
$$

$$
\mathbf{P}=\left[\begin{array}{cccc}
P_{11} & P_{12} & 0 & 0  \tag{16}\\
P_{12} & P_{11} & 0 & 0 \\
0 & 0 & P_{33} & -P_{34} \\
0 & 0 & P_{34} & P_{33}
\end{array}\right]
$$

The phase matrix consists of 16 non zero elements if there is no assumption is made about the shape and position of the scatterer. But for a single sphere, the independent elements reduce to only four. Scattering phase matrix elements of P11, P12, P33 and P34 are known as phase function. The phase function represents the angular distribution of the scattered energy. It is the ratio of the scattered intensity at a specific direction to the integral of the scattered intensity at all directions [8]. The phase function depends on the orientation of the particle with respect to the direction of the incident radiation and on the particle characteristics [9].

## III. RESULTS

To investigate in detail the effect of size of aerosol we select three different wavelength; $\lambda=450 \mathrm{~nm}, 460 \mathrm{~nm}$, 800 nm . Radius (a) of aerosol is taken equal to $4.30 \mu \mathrm{~m}$. Through, the solution of a in the present study is arbitrary and represent only a test-case. Further, we have tested and analysed the result for two refractive indices;

Only with real part (mr).
Including imaginary refractive index (mi).

A complete computation is performed in DELL PRECISION 5810 work station on window platform, while FORTRAN-90 code is constructed to program calculations.

So the scattering phase matrix for a single homogeneous sphere is given by


Figure 3.1 : Scattering phase matrix element $\left(P_{11}\right)$ as a function of scattering angle for $m_{r}=1.5, m_{i}=0.0,0.05$, $\lambda=490 \mathrm{~nm}$ and $x=67.37$.


Figure 3.2: Scattering phase matrix element $\left(P_{11}\right)$ as a function of scattering angle for $m_{r}=1.5, m_{i}=0.0,0.05$, $\lambda=560 \mathrm{~nm}$ and $x=59.43$.


Figure 3.3: Scattering phase matrix element (P11) as a function of scattering angle for $\mathrm{mr}=1.5$, $\mathrm{mi}=0.0,0.05$, $\lambda=860 \mathrm{~nm}$ and $\mathrm{x}=38.70$.

The Lorenz-Mie particles are characterized by strong forward scattering and backscattering. By using $\pi_{n} \cos \theta$ and $\tau_{n} \cos \theta$ we obtained the phase matrix elements. The phase matrix elements contain the full information about the scattering process. Characteristics of the normalized phase functions $\left(\mathrm{P}_{11}\right)$ of sphere are shown in above figs. 3.1-3.3.

When the wavelength incident unpolarised light is 490 nm , the intensity should be decrease up to $90^{\circ}$ and then it should start to increase and maximum near back scattering direction. But When the wavelength is 560 nm , the intensity should be increase in forward scattering direction ( $0^{\circ}<\theta<20^{\circ}$ ) and then it decrease rapidly and then it became start to increase in back scattering direction. When the wavelength is 860 nm , it shows same behaviour as for 490 nm . The imaginary part Of refractive index is negligible in $\mathrm{P}_{11}$.

There is enhanced intensity in back scattering direction ( ${ }^{\sim} 180^{\circ}$ ) is known as 'glory'. This is due to spherical shape of scatterer which serves to focus certain rays at ${ }^{\sim} 180^{\circ}$. The back scattering is smaller than forward scattering [10].

Here the scattering pattern consists of rapid fluctuation due to interference effects [11].


Figure 3.4: Scattering phase matrix element (P12) as a function of scattering angle for $\mathrm{mr}=1.5, \mathrm{mi}=0.0,0.05$, $\lambda=490 \mathrm{~nm}$ and $\mathrm{x}=67.37$.


Figure 3.5: Scattering phase matrix element (P12) as a function of scattering angle for $\mathrm{mr}=1.5, \mathrm{mi}=0.0,0.05$, $\lambda=560 \mathrm{~nm}$ and $\mathrm{x}=59.43$.


Figure 3.6 : Scattering phase matrix element (P12) as a function of scattering angle for
$\mathrm{mr}=1.5, \mathrm{mi}=0.0,0.05, \lambda=860 \mathrm{~nm}$ and $\mathrm{x}=38.70$.

Figs. 3.4-3.6 show the angular distribution of scattering phase matrix element P12 as a function of scattering angle for three different wavelength in visible range. From figs. $3.4-3.6$, we can say that the deviation in P12 is observed only in forward and back scattering direction.

When the refractive index has only real part then forward scattering is found negative for all three wavelength and the backward scattering positive. But when the imaginary part is added in the refractive index the forward scattering and back scattering shows same behaviour as we discuss above but with decrease in magnitude. However, for 860 nm , we get reverse characteristics. This observation suggests that
scattering phase matrix is a combined function of size parameter and wavelength both.


Figure 3.7: Scattering phase matrix element (P33) as a function of scattering angle for $\mathrm{mr}=1.5, \mathrm{mi}=0.0,0.05, \lambda=490 \mathrm{~nm}$ and $\mathrm{x}=67.37$.


Figure 3.8: Scattering phase matrix element $\left(P_{33}\right)$ as a function of scattering angle for $m_{I}=1.5, m_{i}=0.0,0.05, \lambda=560 \mathrm{~nm}$ and $x=59.43$.


Figure 3.9: Scattering phase matrix element $\left(P_{33}\right)$ as a function of scattering angle for $m_{r}=1.5, m_{i}=0.0,0.05$, $\lambda=860 \mathrm{~nm}$ and $x=38.70$.


Figure 3.10: Scattering phase matrix element $\left(P_{34}\right)$ as a function of scattering angle for $m_{r}=1.5, m_{i}=0.0,0.05$, $\lambda=490 \mathrm{~nm}$ and $x=67.37$.


Figure 3.11: Scattering phase matrix element $\left(P_{34}\right)$ as a function of scattering angle for $m_{r}=1.5, m_{i}=0.0,0.05$, $\lambda=560 \mathrm{~nm}$ and $x=59.43$.


Figure 3.12: Scattering phase matrix element $\left(P_{34}\right)$ as a function of scattering angle for $m_{r}=1.5, m_{i}=0.0,0.05$, $\lambda=860 \mathrm{~nm}$ and $x=38.70$.

The phase matrix element $P_{33}$ and $P_{34}$ has same behaviour as $P_{11}$ and $P_{12}$ respectively.


Figure 3.13: Angular distribution of $\left(-P_{12} / P_{11}\right)$ as a function of scattering angle for $m=1.5, \lambda=490 \mathrm{~nm}$ and $x=67.37$.

Above fig. 3.13 shows angular distribution of $\left(-\mathrm{P}_{12} / \mathrm{P}_{11}\right)$, it is known as linear polarization for a single scattering of unpolarised light [12]. Size and shape of also has great influence on the linear polarization [13]. In our case we let that our aerosol is spherical in shape. In particular, spherical particles are most symmetric particles and can produce deeper and wide negative polarization at all scattering angle which we observe in above graph [14]. Here, the negative polarization is due to internal multiple scattering and the positive polarization that we observe in above figure is due to external reflection [15].

## IV. CONCLUSION

The results indicate that There is no much (nonnoticable) deviation in $\mathrm{P}_{11}$ and $\mathrm{P}_{33}$ when the imaginary refractive indices increase from 0.00 to 0.05 . The graph of $\mathrm{P}_{33}$ is similar to $\mathrm{P}_{11}$. In all phase matrix elements, the maximum deviation occurs near the forward scattering direction and backward scattered direction, showing largest sensitivity and hence larger polarizability. This observation reveals importance of low-scattering angle scattering experiment. $\mathrm{P}_{12}$ and $P_{34}$ also shows the negative values. By adding the imaginary part of refractive index, the change in the
graph is quite noticeable; emphasising the fact that polarization is a sensitive function of refractive index.

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## Cite this article as :

Parthkumar B. Dodiya, "Study of Scattering Phase Matrix of Spherical Particles using Lorenz-Mie Theory ", International Journal of Scientific Research in Science and Technology (IJSRST), Online ISSN : 2395602X, Print ISSN : 2395-6011, Volume 3 Issue 6, pp. 815-821, July-August 2017.
Journal URL : http://ijsrst.com/IJSRST196449

